# AppliedCS

*Helping institutions manage compliance & risk*

# Virtual Compliance Officer Services

Virtual Compliance Officer Service Levels

The goal of our vCO services is to provide the client with knowledgeable and skilled resources to facilitate ongoing management of their Compliance Management Program. This service will assist with common Compliance Officer duties as well as help guide the institution's management through regulatory matters.

The Virtual Compliance Officer services allow institutions access to highly qualified and experienced professionals that can help them fill this needed resource at a reduced cost. AppliedCS offers three levels of service, each of which is customizable.

## Virtual Chief Compliance Officer (vCCO)

AppliedCS provides you complete peace of mind when it comes to compliance management while handling the heavy lifting of a Chief Compliance Officer to ensure your compliance program meets your examiner's requirements. Your vCCO will provide monitoring and analyzing areas of risk in the client's operation to ensure observance with bank regulations. They will assist with the establishment of a compliance audit / monitoring program. They will implement and adjust programs to ensure compliance with new regulations passed by the client's regulators all while full communication and transparency with client's committees. CCO gives compliance advice to the risk management unit of an organization.

## Virtual Compliance Officer (vCO)

AppliedCS vCO provides the assistance you are your overall management of your Compliance Program Management. This also includes the development or fine-tuning of your internal Compliance Program. Your VCO can offer support for an existing Compliance Officer (if applicable) or in most cases, will act as the Compliance Officer within your organization.
Your vCO will assist with policies, procedures, disclosures, risk assessments, forms, and provide input to ensure they adhere to regulatory guidelines.

Your vCO will review any existing training program, then we can help fill in any gaps where additional or more intense training is needed.

Your vCO will establish a compliance monitoring schedule to map out required activities for the institution. They can assist by presenting appropriate solutions that address problem areas before audit examiners discover them.

Your VCO will be available by phone or email, so that your team can reach out with any regulatory questions.

## Virtual Mentoring Compliance Officer (vMCO)

AppliedCS guides and develops newly appointed or less experienced Compliance Officers to grow them and the institution's compliance management program while ensuring regulatory requirements are met. The vMCO will assist with ensuring the basic Compliance Management Program foundation blocks are set up to ensure future success by the newly appointed compliance officer.

## SERVICES

**Compliance Program Management**

**Regulatory Knowledge Resource**

**Compliance Training & Education**

**Policies & Procedures**

**Risk Assessments**

**Committee Guidance including Board, Compliance, and Internal Audit**

**Project Management**

**Compliance Monitoring**

**Complaint Monitoring**

**Compliance Advisory Consulting**

## ABOUT
- **Founded in 2016**
- **Sister Company of 10-D Security**
- **Over 100 years of ISO banking experience**

## BENEFITS
- **Not a reseller**
- **Certified Professionals**
- **Bank Security Experts**
- **Vetted Deliverables**
- **High Value Partnership**

## CONTACT US
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